A study on treatment of surface water using cold plasma for domestic water supply

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Abstract
This paper presents the results of using cold plasma to treat surface water for domestic use purpose. Experimental results showed that cold plasma was an effective method for destroying bacteria in water. After treatment with cold plasma, concentration of coliform and Escherichia coli dramatically reduced. Besides, cold plasma significantly removed water odor, increased dissolved oxygen and decreased the concentration of chemical oxygen demand. However, cold plasma significantly raised the concentration of nitrite and nitrate. Other disadvantages of treating with cold plasma were conductivity increase and pH reduction. Pretreatment steps of coagulation, flocculation, sedimentation and sand filtration followed by disinfection with cold plasma exhibited a high efficiency in surface water treatment. All parameters of surface water after treatment by using the prototype satisfied with the allowance standard of domestic water quality.

Keywords: Cold plasma, High voltage, Hydroxyl radical, Ozone, Water treatment

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1. Introduction

Cold plasma is green technology and has been studied for water treatment [1-6]. The cold plasma was produced with numerous methods [7]. Among these methods, dielectric barrier discharges (DBD) and corona discharges (CD) have shown the highest efficiency in water treatment [7]. DBD method is simple, reliable and suitable with water treatment in small scale [2]. For water treatment, DBD was commonly generated with the coaxial electrode system. When cold plasma is formed due to DBD, high energetic free electrons, ultraviolet (UV) light and variety of active species are produced in the electrode gap [8]. Among these species, ozone is one of the most chemically stable and active species. The reactions to generate ozone are expressed in Eq. (1) and (2). Ozone reacts with water molecules to create hydroxyl radicals as Eq. (3). In addition, energetic free electrons may collide with water molecules to yield more hydroxyl radicals as Eq. (4). The interaction between cold plasma and water leads to the formation of oxidising species (e.g., OH*, H*, O*, O3, H2O2, etc.) in water [8]. The synergistic effect of energetic free electrons, UV, oxidising species and intense electric field result in high efficiency in water treatment of cold plasma.

\[
\begin{align*}
O_2 + e^- & \rightarrow O + O + e^- & (1) \\
O + O_2 & \rightarrow O_3 & (2) \\
3O_3 + H_2O & \rightarrow 2OH^* + 4O_2 & (3) \\
e^- + H_2O & \rightarrow OH^* + H^* + e^- & (4)
\end{align*}
\]

Cold plasma from DBD efficiently treated *Escherichia coli* (*E. coli*). With treatment duration of about 30 minutes, the concentration of *E. coli* in water was reduced from $1.6 \times 10^6$ CFU/mL to $1 \times 10^5$ CFU/mL [9]. Similar results were obtained with previous studies [3, 10]. The cold plasma was seen to significantly decrease the content of heavy metals, e.g., Pb, Cd, Fe, and Mn [1].
Furthermore, the cold plasma decomposed organic compounds manifested by reducing concentration of chemical oxygen demand (COD) and 5-day biochemical oxygen demand (BOD₅) as well as water discolor [2, 3, 10]. Most studies have focused on using cold plasma to decompose organic compounds for wastewater treatment [4-6, 8]. Chlorination, ozonation and ultraviolet radiation have used in disinfection step for surface water treatment. However, chlorine was not effective against some types of pathogens such as Cryptosporidium and Giardia [11], and creates by-products named tirhalomethanes as well as bad odor in treated water [12]. Ozone efficiently inactivated microorganisms and decomposed organic compounds [13]. When combining with filtration, ozone can treat heavy metal ions [13]. However, ozonation forms NO₂⁻ and NO₃⁻ in treated water, and ozone leakage creates human toxicity. UV method showed high efficiency in bacteria inactivation without by-products formation in treated water [11]. However, UV cannot inactivate heterotrophic bacteria, Aeromonas and Flexibater [14]. It was seen that ozonation followed by UV irradiation can improve the efficiency of micro-biological inactivation [14]. Therefore, cold plasma composed of ozone, UV and high energetic free electrons was predicted to have high effectiveness in surface water treatment.

In Vietnam, there are quite a lot of places in rural areas or remote areas that have not yet been supplied with “clean water”. Clean water is here implied that the quality of supply water meets the allowance standard regulated by the National technical regulation on domestic water quality in Vietnam, numbered with QCVN 02:2009/BYT. People in rural areas have used surface water from rivers after a simple treatment with coagulation/flocculation and sedimentation. Although water becomes more transparent with lower concentration of bacteria after these treatment steps, it still does not meet the allowance standard of domestic water quality. Therefore, a study on cold plasma for surface water treatment to develop suitable devices for using in a group of 3 or 4 households is an alternative option.
In this study, experiments were performed with two models. A small-scale model was used in laboratory to determine optimum operation parameters and evaluate the efficiency of the treatment process. A prototype with capacity of 6m$^3$/d was installed and tested in a household in an islet of Vinhlong province, Vietnam.

2. Materials and Methods

2.1. Materials

Distilled water samples of 15 liters were used for performing experiments of testing concentrations of ozone and active species (\textit{O}H, O$_3$, etc.) in water. Hanna test kit (HI-38054) was employed to determine ozone concentration in water sample. The 2,2-diphenyl-1-picrylhydrazyl (DPPH$^\cdot$) purchased from Sigma-Aldrich was used to detect \textit{O}H, and the UV-VIS spectrometer (V730, Jasco) was applied to measure optical density of the samples in wavelength of 520 nm.

For evaluating the effectiveness of water treatment by cold plasma, 15 liters of surface water collected from Cantho River was used. Aluminium sulfate (17\%) was used as a coagulating agent for coagulation/flocculation process.

2.2. Experimental Setup and Procedure

Fig. 1 shows the setup of the small-scale model. The coaxial electrode system was used. The inner electrode was made of stainless steel with outer diameter of 22 mm. The outer electrode was made of aluminium foil of 0.08 mm. A quartz tube having inner and outer diameters of 29 mm and 35 mm, respectively was used as an insulating barrier. Due to the appearance of micro-discharges, cold plasma was formed between water layer and inner surface of the tube. At the edges of outer
electrode, corona discharges occur due to a dramatic enhancement of electric field intensity created by the edge effect. A pump was used to supply air to the plasma chambers inside and outside the quartz tube to enhance ozone generation and the concentration of ozone dissolved in water. At the outside plasma chamber, ozone was generated because of interaction between corona discharges and oxygen in air. The ozone generated at the outside chamber was conducted to a porous disk placed at the bottom of the storage tank for increasing the efficiency of ozone dissolution in water. To determine optimum operation parameters of the plasma chamber, two experiments were performed with the small-scale model. The 1st experiment was used to determine the optimum applied voltage by varying the voltage in steps from 15 kV to 18 kV at 2 L/min of the water flow rate. The optimum water flow rate was determined with the 2nd experiment by changing the water flow rate in steps of 0.5 L/min from 2 L/min to 3 L/min at 18 kV. Optimum operation parameters determined from these two experiments were used to operate the plasma chambers of the prototype. All experiments were repeated three times. The average value and standard deviation of water quality parameters were calculated from the experimental data.
Fig. 1. Schematic showing the experimental set up of a small-scale model.

Fig. 2(a) shows the block diagram of process used for the prototype of surface water treatment (Fig. 2(b)). Raw water from river is pumped to the reaction tank. At the same time, a coagulant (aluminium sulfate- $\text{Al}_2(\text{SO}_4)_3$) is filled into this tank by a metering pump with a determined concentration of 100 mg of $\text{Al}_2(\text{SO}_4)_3$ per 1 liter of water. A propeller is installed on the top of the reaction tank to well mix $\text{Al}_2(\text{SO}_4)_3$ with water and promote the flocculation process. The mixing time is 5 min, and a predetermined time of 60 min is set up to finish the sedimentation process. After this process, water is forced through a sand filter with a flow rate of 20 L/min to remove suspended residue. Then water with the flow rate of 7.5 L/min is supplied to three plasma treatment columns for removing bacteria. After plasma treatment, clean water is stored in a

Distilled water or water after coagulation and sedimentation

Ozone

Water outlet

Quartz glass tube

Corona discharges (outside plasma chamber)

Plastic housing

Outer electrode

High voltage source

Air pump

Resistive divider

Oscilloscope

Distilled water or water after coagulation and sedimentation

Influent tank

Water pump

Q

Q1=10 L/min

Q2=4 L/min

Dielectric barrier discharges (inside plasma chamber)

Inner electrode

Dielectric barrier discharges (inside plasma chamber)

Influent tank

Effluent tank

Porous disk

Water inlet

Water outlet
stainless steel tank for domestic using. The prototype operates in batch of 3 m$^3$ of water. Three samples of raw water and treated water were taken to analyse the value of quality parameters.

![Diagram of water treatment process](image)

**Fig. 2.** (a) Block diagram of surface water treatment process and (b) the prototype of surface water treatment with cold plasma.

### 2.3. Voltage Measurement

The voltage applied to the electrode system was measured with a high voltage probe Pintek HVP 39-Pro coupled with an oscilloscope Tektronix TDS 2001C. Electrical power consumed by plasma chamber was measured with power meter MFM-383A.

### 2.4. Ozone Measurement

Ozone concentration in water was measured with the Hanna test kit (HI-38054). This test kit determines the ozone concentration with checker disc method. The reaction between ozone and the reagent causes a pink tint in the sample being proportional to the concentration of ozone.
2.5. Active Species Measurement

Active species generated by the plasma was commonly detected by using a stable radical, 2,2-diphenyl-1-picrylhydrazy (DPPH•) [15, 16]. To determine the concentration of active species, 5 mL of sample after treatment with cold plasma was reacted with 5 mL of DPPH• 0.1 mmol in 30 minutes. After reaction, the color of solution changed from violet to light yellow and the solution was read by the UV-VIS spectrometer (Jasco-Japan) at wavelength of 520 nm.

2.6. Water Quality Parameter Measurement

Quality parameters of water samples were analyzed with methods presented in the standard methods for the examination of water and wastewater [17]. These are pH, Color, Odor, Turbidity, Residual chlorine, Ammonia, Total iron, Permanganate index, Hardness, Chloride, Fluoride, Arsenic, Total coliform and E. coli.

3. Results and Discussion

3.1. Ozone and Active Species Generation

3.1.1. Effect of water flow rate

The concentrations of ozone and active species in water were greatly affected by water flow rate as seen in Fig. 3. Both ozone and active species concentration linearly reduced with increasing the flow rate of water. As water flow rate increased from 1 L/min to 5 L/min, ozone concentration decreased from 0.34 mg/L to 0.15 mg/L. Simultaneously, active species also decreased from $7.6 \times 10^{-2}$ mmol/L to $5.6 \times 10^{-2}$ mmol/L. These results are due to interaction time between cold plasma and water layer reduced as increasing water flow rate,
leading to a reduction in the concentrations of ozone and active species in water. In addition, an increase in water flow rate results in thicker water layer, leading to reduce the number of micro-discharges [2].

**Fig. 3.** Correlation between ozone, active species concentrations and water flow rate (V = 18 kV).

### 3.1.2. Effect of voltage magnitude

Fig. 4 presents the relationship between the concentration of ozone and active species in water and applied voltage. An increase in the voltage magnitude resulted in a higher value of ozone concentration and the production of active species in water. The ozone concentration increased from 0.11 mg/L to 0.26 mg/L as the voltage was raised from 12 kV to 18 kV, while active species concentration increased by about 15% from $6.02 \times 10^{-2}$ mmol/L to $6.92 \times 10^{-2}$ mmol/L. This is because of higher applied voltage results in higher intensity and density of micro-discharges as well as higher energy of energetic electrons [8].

### 3.2. Optimal Dose of Coagulant
Prior testing surface water with a small-scale model, the Jar-test was performed to estimate the optimum concentration of aluminium sulfate $\text{Al}_2(\text{SO}_4)_3$ required. The pH value and turbidity of raw water were 7.6 and 27.7 NTU. The concentration of $\text{Al}_2(\text{SO}_4)_3$ was varied from 20 mg/L to 100 mg/L. It was found that optimal value of $\text{Al}_2(\text{SO}_4)_3$ for coagulation and flocculation processes was 80 mg/L, resulting in a pH value of 7.26 and turbidity of 2.2 NTU for treated water.

**Fig. 4.** Correlation between ozone concentration, $^\cdot\text{OH}$ concentration and voltage magnitude (Q = 2 L/min).

### 3.3. Efficiency of Bacteria Treatment by Cold Plasma

#### 3.3.1. Influence of applied voltage

As mentioned above, UV, ozone and active species exist as long as cold plasma appears. The synergistic effect of these components is considered as the main reason to cause an effective destruction of bacteria by cold plasma. The concentration of *E. coli* in water sample before treatment was quite high ($9.3 \times 10^3$ MPN/100 mL). After pretreatment with
coagulation/flocculation and sedimentation, *E. coli* concentration decreased by 65.5% to a value of $3.3 \times 10^3$ MPN/100 mL. Then, water was treated with cold plasma and the results are shown in Fig. 5. The result showed that voltage magnitude forming cold plasma significantly affected the effectiveness of bacteria removing. Cold plasma reduced the concentration of *E. coli* by 99.8% and 100% for 15 kV and 18 kV, respectively. For coliform, an increase in voltage raised the killing efficiency (98.4% for 15 kV; 98.7% for 18 kV). This indicates that higher applied voltage resulted in higher efficiency of bacteria destroying. This is because of an increase in applied voltage will raise the concentrations of ozone and active species (Fig. 4).

**Fig. 5.** Correlation between bacteria concentration and voltage magnitude (Q = 2 L/min).

### 3.3.2. Influence of water flow rate

Fig. 6 shows how the water flow rate (Q) affects the efficacy of bacteria removing. The rate was varied in steps of 0.5 L/min from 2 L/min to 3 L/min. It was found that the flow rate of water significantly affected the effectiveness of the removal of bacteria. Among three water
flow rates investigated, the water flow rate of 2.5 L/min shows the highest efficiency of bacteria treatment. At this value of water flow rate, about 96.7% of coliform and 98.3% of *E. coli* were destroyed by cold plasma. It can be explained by the fact that consumed power of the plasma chamber reached the maximum value of 65 W at the rate of 2.5 L/min while 55 W and 50 W were measured at the water flow rate of 2 L/min and 3 L/min. Thus, at the water flow rate of 2.5 L/min the gap between surface of water layer and surface of inner wall of the quartz tube may reach the optimum value for forming and maintaining cold plasma inside the electrode gap.

### 3.4. Influence of Cold Plasma on Physicochemical Parameters of Water

Physicochemical parameters of raw water and treated water are shown in Table 1. This experiment was performed with 18 kV of applied voltage and 2.5 L/min of water flow rate. These values of applied voltage and water flow rate result in the highest efficiency in bacteria removal as seen in Fig. 5 and 6. It is seen that cold plasma increased electrical conductivity (EC) by about 10%, but slightly reduced pH. This phenomenon is in agreement with previous studies [4]. This can be explained by the formation of ions in water after treatment such as H\(^+\), H\(_3\)O\(^+\), NO\(_2\)^\(-\), NO\(_3\)^\(-\). After treatment with cold plasma, dissolved oxygen (DO) significantly increased while COD greatly reduced. On the other hand, cold plasma showed a negative effect on turbidity. After treatment with cold plasma, the turbidity increased by about 4%. This result is possibly explained by the fact that the dispersion of ozone with a porous disk will break large particles into numerous small ones. Thus, it is essential to add a filtration step after plasma treatment to remove small particles resulting in higher turbidity. In addition, another drawback of cold plasma was that it significantly enhanced nitrite and nitrate
concentrations due to the presence of nitrogen in moist air inside plasma chambers. To reduce the concentrations of nitrite and nitrate in water sample after plasma treatment, anion exchange resin INDION-GS 3000 from Themax was investigated. The experiment was performed with 600 mL of GS 3000 contained in a plastic column of 1,000 mL and water flow rate of 2.5 L/min. It was observed that GS 3000 effectively removed nitrite and nitrate in water. Nitrite concentration was reduced from 0.7 mg/L to 0.03 mg/L (~95.4%). At the same time, nitrate concentration was seen to decline by 96% (from 2.0 mg/L to 0.08 mg/L).

![Bacteria concentration vs. water flow rate](image)

**Fig. 6.** Correlation between bacteria concentration and water flow rate (V = 18 kV).

**Table 1.** Physicochemical Parameters of Water

<table>
<thead>
<tr>
<th>Parameters</th>
<th>Unit</th>
<th>Raw water</th>
<th>Treated water after coagulation/flocculation and sedimentation</th>
<th>Treated water after cold plasma</th>
<th>Treated water after anion exchange resin</th>
</tr>
</thead>
<tbody>
<tr>
<td>EC</td>
<td>µS/cm</td>
<td>160 ± 17.3</td>
<td>236.7 ± 15.3</td>
<td>246.7 ± 15.3</td>
<td>-</td>
</tr>
<tr>
<td>pH</td>
<td>-</td>
<td>6.7 ± 0.0</td>
<td>7.5 ± 0.06</td>
<td>7.4 ± 0.06</td>
<td>-</td>
</tr>
<tr>
<td>DO</td>
<td>mg/L</td>
<td>2.6 ± 0.0</td>
<td>-</td>
<td>5.3 ± 0.6</td>
<td>-</td>
</tr>
<tr>
<td>Turbidity</td>
<td>NTU</td>
<td>64.1 ± 3.8</td>
<td>13.6 ± 1.1</td>
<td>14.1 ± 2.0</td>
<td>-</td>
</tr>
</tbody>
</table>
3.5. Testing Data of the Prototype of Water Treatment System

The prototype shown in Fig. 2(b) was operated with the treatment process presented in Fig. 2(a). Aluminium sulfate (Al₂(SO₄)₃) was used as a coagulating agent for coagulation and flocculation processes. Based on the Jar-test, the optimum dosage of Al₂(SO₄)₃ added into water was determined to be 100 mg/L, and the measured values of turbidity and pH of water sample after the Jar-test were 2.5 NTU and 7.1, respectively. The prototype consumed about 1.8 kWh/m³ of water treated.

Physical, chemical and bacteriological parameters of water samples before and after treatment by the prototype using cold plasma are presented in Table 2. It was found that after treatment, all quality parameters of water complied with the Vietnamese standard [18] and the WHO guideline [19]. The Vietnamese standard stipulates limits of quality criteria for domestic purposes but not for direct drinking or processing food.

Table 2. Parameters of Water Prior and After Treatment

<table>
<thead>
<tr>
<th>Parameters</th>
<th>Unit</th>
<th>Raw water</th>
<th>Treated water</th>
<th>Treated water after coagulation/flocculation and sedimentation</th>
<th>Treated water after cold plasma</th>
<th>Treated water after anion exchange resin</th>
</tr>
</thead>
<tbody>
<tr>
<td>COD</td>
<td>mg/L</td>
<td>76.8 ± 0.0</td>
<td>26.9 ± 0.0</td>
<td>15.4 ± 3.8</td>
<td>-</td>
<td>-</td>
</tr>
<tr>
<td>Nitrite</td>
<td>mg/L</td>
<td>0.03 ± 0.0</td>
<td>0.008 ± 0.0</td>
<td>0.7 ± 0.18</td>
<td>0.03 ± 0.01</td>
<td>0.03 ± 0.01</td>
</tr>
<tr>
<td>Nitrate</td>
<td>mg/L</td>
<td>0.44 ± 0.0</td>
<td>0.27 ± 0.0</td>
<td>2.0 ± 0.52</td>
<td>0.08 ± 0.02</td>
<td></td>
</tr>
<tr>
<td>Parameters</td>
<td>Unit</td>
<td>Raw water</td>
<td>Treated water</td>
<td>Vietnamese standard [18]</td>
<td>WHO guidelines for drinking water [19]</td>
<td></td>
</tr>
<tr>
<td>------------------</td>
<td>------</td>
<td>-------------</td>
<td>---------------</td>
<td>--------------------------</td>
<td>---------------------------------------</td>
<td></td>
</tr>
<tr>
<td>Total iron</td>
<td>mg/L</td>
<td>1.9 ± 0.3</td>
<td>0.3 ± 0.2</td>
<td>0.5</td>
<td>N/A</td>
<td></td>
</tr>
<tr>
<td>Permanganate index</td>
<td>mg/L</td>
<td>-</td>
<td>0.5 ± 0.0</td>
<td>4</td>
<td>N/A</td>
<td></td>
</tr>
<tr>
<td>Hardness as CaCO₃</td>
<td>mg/L</td>
<td>-</td>
<td>49.9 ± 12.4</td>
<td>350</td>
<td>150-500</td>
<td></td>
</tr>
<tr>
<td>Chloride</td>
<td>mg/L</td>
<td>-</td>
<td>23.6 ± 8.4</td>
<td>300</td>
<td>250</td>
<td></td>
</tr>
<tr>
<td>Flouride</td>
<td>mg/L</td>
<td>-</td>
<td>0.4 ± 0.3</td>
<td>1.5</td>
<td>1.5</td>
<td></td>
</tr>
<tr>
<td>Arsenic</td>
<td>mg/L</td>
<td>-</td>
<td>&lt; 0.001</td>
<td>0.01</td>
<td>0.01</td>
<td></td>
</tr>
<tr>
<td>Total coliform</td>
<td>MPN/100 mL</td>
<td>19,000 ± 4,000</td>
<td>10.0 ± 4.0</td>
<td>50</td>
<td>N/A</td>
<td></td>
</tr>
<tr>
<td>E.coli</td>
<td>MPN/100 mL</td>
<td>142 ± 51</td>
<td>5.0 ± 3.0</td>
<td>20</td>
<td>N/A</td>
<td></td>
</tr>
</tbody>
</table>

It was seen that the prototype shows high efficiency in removing bacteria. It is about 99.9% and 96.4% for the removal of coliform and *E. coli*, respectively. This result is similar to previous reports on cold plasma studies [9, 10, 20], slow sand filtration [21], ceramic filtration [22], UV radiation [11] and ozonation [14]. The prototype can remove about 75% of ammonia, which was oxidised to nitrate by ozonation [23]. In addition, the prototype removes 84% of total iron (from 1.9 mg/L to 0.3 mg/L). This is similar to result received when groundwater was treated with ozone dose of 1.25 mg/L during 5 min [24]. Clearly, cold plasma combined with coagulation/flocculation process can treat varieties of pollutants in water as efficiently as other methods.

4. Conclusions

A combination between coagulation, flocculation, sedimentation and cold plasma shows a high efficiency in surface water treatment for domestic use purpose. The prototype successfully treats surface water for using indoor households in rural areas. The quality parameters of water after treatment with the prototype are in accordance with Vietnamese...
standand and WHO guidelines. However, cold plasma has a negative effect on nitrite and nitrate parameters. This problem can be effectively solved by using anion exchange resin.

Acknowledgments

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